CO7 Rosed PCT/PTO FORM PTO-1390 U.S. DEPARTMENT OF MERCE PATENT AND TRADEMARK OFFICE REV. 2/01T TRANSMITTAL LET TO THE UNITED STATES 07579.0016 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. **CONCERNING A FILING UNDER 35 U.S.C. 371** (If known, see 37CFR1.5) 070361 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/AU00/01056 September 6, 2000 September 6, 1999 TITLE OF INVENTION COMPOSITIONS AND THERAPEUTIC METHODS INVOLVING ISOFLAVONES AND ANALOGUES APPLICANT(S) FOR DO/EO/US Andrew HEATON; Naresh KUMAR; Graham Edmund KELLY; and Alan HUSBAND Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C 371. 1.  $\boxtimes$ 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3.  $\Box$ items (5), (6), (9) and (21) indicated below. 4. Ø The US has been elected by the expiration of 19 months from the priority date (Article 31). 5.  $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). a.  $\boxtimes$ is attached hereto (required only if not communicated by the International Bureau. b. has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). C. 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154 (d)(4). 7.  $\boxtimes$ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11.  $\boxtimes$ Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is 13.  $\boxtimes$ A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A Substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154 (d)(4).

A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).

Copy of Notification of Missing Requirements.

Copy of cover page of International Publication No. WO01/17986 A1

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Other items or information:

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| 10/070369 PCT/AU00/01056   |  |   |  |   | NUMBER<br>07579.0016  |                                    |  |
| 21. ☑ The following fees are submitted:  BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):  |  |   |  |   |   | CALCULATIONS PTO USE ONI           |  |
| BASIC NATION   | NAL FEE  | (37 CFR 1.492   | (a) (1) - (5)):  |   |   | 7.15 TO 03E 0[4]                   |  |
| Neither internation  | nal prelin   | ninary examinati  | on fee (37 CFR 1.482)  |   |   |                                    |  |
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| Surcharge of \$130   | .00 for fur  | nishing the oath  |  |   |   |                                    |  |
| months from the ea   | Tilest clan  | med priority date   | e (37 CFR 1.492 (e)).  | □ 20 □ 30   | \$  |                                    |  |
| CLAIMS   | NUM  | BER FILED   | NUMBER EXTRA   | RATE  |   | <del></del>                        |  |
| Total Claims   | 16   | - 20 =  | 0  | x \$18.00   |   |                                    |  |
| ndependent Claims  | 2  | -3 =  | 0  | x \$84.00   | \$  |                                    |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |  |   |  |   | \$  |                                    |  |
| 3280.00  |  |   |  |   | \$280.00  |                                    |  |
| TOTAL OF THE ABOVE CALCULATIONS =  |  |   |  |   | S =   \$1320.00   |                                    |  |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  |  |   |  |   | <sup>2</sup> . \$660.00   |                                    |  |
| SUBTOTAL =   |  |   |  |   | L = \$660.00  |                                    |  |
| Processing fee of \$130.00 for furnishing the English translation later than nonths from the earliest priority date (37 CFR 1.492(f)).   |  |   |  |   |   |                                    |  |
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